

**AMENDMENT NO. 9 TO  
AGREEMENT NO. C-9-0576  
EXHIBIT C**

**REVISED FUNDING SCHEDULE**  
**Raymond Avenue**

<b>Funding Source</b>	<b>Fiscal Year (FY) Funding Available</b>	<b>Funding Amount</b>	<b>Phase</b>	<b>Direct Funding Recipient</b>	<b>OCTA's Project Expenses</b>
Public Transportation Modernization, and Service Enhancement Account (PTMISEA) <sup>1,4</sup>	FY 2011-12 and FY 2012-13	\$77,679,000	Design, ROW, Utilities, Construction, Project Management and Support	OCTA is the direct recipient	\$26,156,000  -To be deducted from funding amount
Renewed Measure M (M2) (OCTA) <sup>2,4</sup>	FY 2010-11, FY 2011-12, and FY 2012-13	\$22,373,000	Environmental, Design, ROW, Utilities, Construction, Project Management and Support	OCTA is the direct recipient	\$8,284,000  -To be deducted from funding amount
Surplus Property and Rental Income	FY 2016-17	\$5,216,000	Construction, Project Management, and Support	OCTA is the direct recipient	
BNSF Reimbursement <sup>3</sup>	FY 2016-17	\$700,000	Project Management and Support	City is the direct recipient	
Metropolitan Water District (MWD) Reimbursement	FY 2014-15	\$1,648,000	Construction, Project Management and Support	City is the direct recipient	
Trade Corridor Improvement Fund (TCIF)	FY 2013-14 and FY 2018-19	\$10,400,000	Construction, Project Management and Support	City is the direct recipient	
Transit System Safety, Security & Disaster Response Account (TSSSDRA) <sup>4</sup>	FY 2012-13, FY 2013-14, and FY 2018-19	\$7,403,000	Construction, Project Management and Support	OCTA is the direct recipient	
<b>Total</b>		<b>\$125,419,000</b>			<b>\$34,440,000</b>

**Notes:**

- OCTA is the direct recipient of the PTMISEA funds, in the amount of \$77,679,000. Of this amount, \$26,156,000 was withheld and used by OCTA, to cover for right-of-way and construction related expenditures including, but not limited to, acquisition, relocation, support, legal, and railroad costs. This action is complete.

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2. OCTA is the direct recipient of the M2 funds, in the amount of \$22,373,000. Of this amount, \$4,401,000 was withheld and used by OCTA, to cover for project, project support, public outreach, program management consultant costs and \$3,883,000 was withheld and used by OCTA, to cover for right-of-way related expenditures including, but not limited to, acquisition, relocation, support, and legal costs, for a total amount of \$8,284,000 of M2 funds. The City will incur minor anticipated expenditures that are included in the total project cost. OCTA shall reimburse the City the remaining balance when invoiced by City to closeout this project.
3. Per Construction and Maintenance Agreement, BNSF Railway reimbursed City for their contribution cost share, in the amount of \$700,000, at project completion. OCTA has invoiced and received this amount from City. This action is complete.
4. Advance amount of \$8,500,000 comes from portion of the PTMISEA, TSSSDRA, and M2 funds for construction. This amount was reimbursed to City upon execution of the construction contract to help alleviate potential construction cash flow deficiencies. This action is complete and not reflected in the funding schedule.

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EXHIBIT D**

**REVISED FUNDING SCHEDULE**  
**State College Boulevard**

<b>Funding Source</b>	<b>Fiscal Year (FY) Funding Available</b>	<b>Funding Amount</b>	<b>Phase</b>	<b>Direct Funding Recipient</b>	<b>OCTA's Project Expenses</b>
Trade Corridor Improvement Fund (TCIF)	FY 2012-13	\$32,800,000	Construction and Project Management	City is the direct recipient	
Demonstration Safe, Accountable, Flexible, efficient Transportation Equity Act – A Legacy for Users (DEMO-SAFETEA-LU) <sup>1</sup>	FY 2010-11 and FY 2012-13	\$10,887,000	ROW	City is the direct recipient	
Local (CITY) <sup>7</sup>	FY 2010-11	\$6,458,000	Environmental, Design, ROW, and Utilities	City is the direct recipient	
Regional Surface Transportation Program (RSTP)/ Congestion Mitigation and Air Quality (CMAQ) <sup>2</sup>	FY 2010-11 and FY 2012-13	\$12,786,000	Construction and Project Management	City is the direct recipient	
New CMAQ/Surface Transportation Block Grant (STBG) <sup>6</sup>	FY 2018-19	\$14,375,000	ROW, Construction, and Project Management	City is the direct recipient	
Renewed Measure M (M2) (OCTA) <sup>3,5</sup>	FY 2012-13 and FY 2017-18	\$15,460,000	Design, ROW, Utilities, Construction, and Project Management	OCTA is the direct recipient	\$7,008,788 -To be deducted from funding amount
BNSF Railway Reimbursement <sup>4</sup>	FY 2017-18	\$1,147,000	Construction and Project Management	City is the direct recipient	
Orange County Sanitation District (OCSD) Reimbursement	FY 2014-15	\$3,482,000	Design, Construction, and PM	City is the direct recipient	
Transit System Safety, Security & Disaster Response Account (TSSSDRA) <sup>5</sup>	FY 2012-13 and FY 2013-14	\$1,985,000	Construction and Project Management	OCTA is the direct recipient	
<b>Total</b>		<b>\$99,380,000</b>			<b>\$7,088,788</b>

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**Notes:**

1. Subject to federal appropriations. City is the direct recipient of the federal DEMO-SAFETEA-LU funds, in the total amount of \$10,887,000. OCTA has invoiced and received this amount from City, for all right-of-way related expenditures not covered by funds received directly by OCTA including, but not limited to, acquisition, relocation assistance, support, and legal costs. This action is complete.
2. Subject to federal appropriations. City is the direct recipient of the federal RSTP and CMAQ funds, in the total amount of \$12,786,000 for costs related to construction expenditures. OCTA has invoiced and received this amount from City. This action is complete.
3. OCTA is the direct recipient of the M2 funds, in the amount of \$15,460,000. Of this amount, \$6,148,000 was withheld and used by OCTA, to cover for project management, project support, public outreach, and program management consultant costs and \$860,788 was withheld and used by OCTA, to cover costs related to right-of-way expenditures not covered by funds received directly by OCTA including, but not limited to, acquisition, relocation assistance, support, and legal costs, for a total amount of \$7,008,788 of M2 funds. The City will incur minor anticipated expenditures that are included in the total project cost. OCTA shall reimburse the City the remaining balance when invoiced by City to closeout this project.
4. Per Construction and Maintenance Agreement, BNSF Railway has reimbursed City for their contribution cost share of \$1,147,000, at project completion. OCTA has invoiced and received \$1,100,000 from City. The remaining balance of \$47,000 was used by City for project related expenses. This action is complete.
5. Advance amount of \$1,500,000 comes from M2 and TSSSDRA funds for construction. This action is complete.
6. Advance amount of \$14,375,000 comes from M2 funds for right-of-way related expenditures, including, but not limited to, acquisition, relocation assistance, and support costs. This action is complete.
7. The City has fully completed its funding amount obligation of \$6,458,000; no additional City-funding commitments will be required. This action is complete.